



SECURITY MUTUAL LIFE
 INSURANCE COMPANY OF NEW YORK
 SECURITY MUTUAL BUILDING • 100 COURT ST.
 P.O. BOX 1625 • BINGHAMTON, NY 13902-1625
 607-723-3551 • www.smlny.com

Security Mutual ... Your Partner for Life.SM

Micro(k)[®] Confidential Fact Finder

Fax to: 607.772.6726

Qualification Questionnaire

1. Do you own a small business or sole proprietorship that generates taxable income? Yes No
2. Do you have either 0 employees, or employees who each work fewer than 20 hours a week? Yes No
3. Do you want greater flexibility in contributions or investments? Yes No
4. Is the ability to borrow money from the Plan important to you? Yes No
5. If you need life insurance, would you prefer to use pre-tax dollars to purchase it? Yes No

If you answered Yes to all of the above questions, you may be an ideal prospect for a Micro(k). If you answered No to questions 1 or 2, you may not qualify for a Micro(k) but may be a "Next Level" retirement plan prospect. Visit www.SAIplans.com for more information.

Micro(k)[®] Design-Study Data

1. Employer Facts

A. Name or DBA: _____ State: _____ Fiscal Year End: _____ / _____
 Mo. Yr.

Employer Identification #: _____
 (If you intend to sponsor a Qualified Plan, you will need a separate Tax ID # for your business.)

B. Type of Organization:

- Corporation S Corporation Sole Proprietorship Other: _____
- LLC: Taxed as a: LLP Partnership _____
- Corporation
 Partnership

C. Census Data:

First Name	Last Name	Date of Birth M / D / Y	Date of Hire M / D / Y	% Owned	W2 Wages (Corporations)	K1 Income or Schedule C	Smoker Y / N

2. Type of Retirement Plan

A. Type of Plan: _____ B. Plan Formula: _____ C. Other Comments: _____

D. Have you made contributions to the existing plan for the current year? Yes No

3. Retirement Plan Goals

A. Goals: _____

B. Plan Budget: \$ _____ or _____ % of Payroll

C. Life Insurance Needs: _____

Submitting Planner Information

Name: _____ Phone: _____ E-mail: _____

Visit www.smlplans.com for Professional Advisor Information 24/7